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FACSIMILE TRANSMISSION COVER SHEET

TO: USPTO

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FACSIMILE NUMBER: 571 273 8300

FROM: Peter L. Herridge

TELEPHONE NO.: 732 524 5352

ROOM NO.:

DATE: February 9, 2006

FACSIMILE NUMBER: 732 524 2143

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COMMENTS:

RE: USSN 10/797,795

Information Disclosure Statement with accompanying documents

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Practitioner's Docket No. ORT1575USCNT1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Carlos R. Plata-Salaman, Boyu Zhao and Roy E. Twyman

RECEIVED CENTRAL FAX CENTER

Application No.: 10/797,795

Group No.: 4508

FEB U 9 2006

Filed: March 10, 2004

Examiner: P.G. Spivack

For: Carbamate compounds for use in preventing or treating neurodegenerative

disorders

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 3. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

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Information Disclosure Statement--page 1 of 2

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Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

Form PTO/SB/08A and/or form PTO/SB/08B is/are attached.

Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is the practitioner who signs below on the basis of the information in the practitioner's file.

Date:

Peter L. Herridge

Registration No. 42,658

Johnson & Johnson

One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003

U\$

732-524-5352

Customer No. 000027777

Practitioner's Docket No. ORT1575USCNT1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Carlos R. Plata-Salaman, Boyu Zhao and Roy E. Twyman

Application No.: 10/797,795

Group No.: 4508

Filed: March 10, 2004

Examiner: P.G. Spivack

For: Carbamate compounds for use in preventing or treating neurodegenerative

disorders

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER MAILING DATE OF FINAL ACTION, NOTICE OF ALLOWANCE OR ACTION THAT CLOSES PROSECUTION BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))

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Date: 2/9/0 4

Peter L. Herridge

(type or print name of person certifying)

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Transmittal of Information Disclosure Statement After Mailing Date of Final Action, Notice of Allowance or Action that Closes Prosecution But

Before Payment of Issue Fee (37 C.F.R. § 1,97(d))--page 1 of 2

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TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

STATEMENT AND FEE

- In accordance with the requirements of 37 C.F.R. § 1.97(d):
 - A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e).
 - B. Applicant submits the fee set forth in § 1.17(p) (\$180.00).

FEE DUE

3. Fee due (§ 1.17(p)): \$180.00

METHOD OF PAYMENT OF FEE

Authorization is hereby made to charge the amount of \$180.00 to Deposit Account No. 10-0750.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Date:

Peter L. Herridge

Registration No. 42,658
Johnson & Johnson

One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003

US

732-524-5352

Customer No. 000027777

Practitioner's Docket No. ORT1575USCNT1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Carlos R. Plata-Salaman, Boyu Zhao and Roy E. Twyman

RECEIVED **CENTRAL FAX CENTER**

Application No.: 10/797,795

Filed: March 10, 2004

Group No.: 4508

FEB 0 9 2006

Examiner: P.G. Spivack For: Carbamate compounds for use in preventing or treating neurodegenerative

disorders

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. § 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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Peter L. Herridge

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Statement for Information Disclosure Under 37 C.F.R. §§ 1.97(c)-page 1 of 2 © 2006 Matthew Bender & Company, Inc., a member of the LexisNexis Group.

STATEMENT

2. I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is the practitioner who signs below on the basis of the information in the practitioner's file.

Date:

Peter L. Herridge

Registration No. 42,658 Johnson & Johnson

One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003

US

732-524-5352

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PTQ/SB/082 (07-05)

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Şubstitute	NFORMATION DISCLOSURE		Application Number	10/797,795		
INCORMATION DISCLOSURE				Filing Date	03/10/2004	
				First Named Inventor	Carlos R. Plata-Salaman	
STATEMENT BY APPLICANT				Art Unit	4508	
(Use as many sheets as necessary)				Examiner Name	P.G. Spivack	
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U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (ff Anown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS								
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant, 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.usolo.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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